I. GENERAL

Completion and submission of this statement to provide full and accurate disclosure of ownership and financial interests in the clinical facility is required by Section 58-1.1 of Title 10, New York Code of Rules and Regulations. Failure to do so may result in the denial of a permit to a laboratory or blood bank.

Note: The completion of this statement does not eliminate the responsibility of the applicant to report all changes in ownership in the applying facility directly to the New York State Department of Health, Office of Medicaid Management, at One Commerce Plaza, Albany, NY 12260.

II. COMPLETION OF STATEMENT

Definitions

Direct ownership interest means the possession of stock, equity in the capital, or any interest in the profits of the applying clinical facility.

Indirect ownership interest means the possession of stock, equity in the capital, or any interest in the profits of an entity with a direct or indirect ownership interest in the applying clinical facility.

Controlling interest means the ability to direct or control the operation or management of the applying clinical facility.

Management company means any organization that operates and manages a business on behalf of the owner, with the owner retaining ultimate legal responsibility for the operation of the business.

Clinical Facility means a clinical laboratory or blood bank as defined by Public Health Law, Article V, Title 5.

Under the New York State Tax Law, the laboratory is required to disclose the Tax ID or (Federal Employer Identification Number; a.k.a EIN) or the Social Security Number, as appropriate, of all owners. The authority of the New York State Department of Health, Wadsworth Center, Clinical Laboratory Evaluation Program to request this information, and the authority to maintain such information is found in Section 5 of the Tax Law. Disclosure of this information is mandatory. This information can be used by the Department of Taxation and Finance to identify individuals, businesses and others who have been delinquent in filing tax returns or may have understated their tax liabilities and to generally identify persons affected by the taxes administered by the Commissioner of Taxation and Finance. The information can be used for tax administration purposes and for any other purposes authorized by the Tax Law.